Investor Complaints Data -Stock broker (SB)

Data for the end month of October 2026								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	1	9	1	9	1	0	3
2	SEBI (SCORES 2.0)	6	6	5	7	5	0	36
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
Grand Total		7	15	6	16	6	0	9.4

Data for the end month of October 2026					
SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April, 2026	5	24	26	3
2	May, 2026	3	13	16	0
3	June, 2026	0	10	6	4
4	July, 2026	4	28	25	7
5	August, 2026	7	21	22	6
6	September, 2026	6	21	20	7
7	October, 2026	7	15	16	6
Grand Total		**25**	**132**	**131**	**33**

#resolution time is calculated basis actual disposed date mentioned on SEBI portal.
*Should include complaints of previous months resolved in the current month, if any.
**Should include total complaints pending as on the last day of the month, if any.
^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints					
SN	Year	Carried forward from previous year	Received	Resolved*	Pending #
1	2023-2024	0	9	9	0
2	2024-2025	0	496	421	75
3	2025-2026	75	191	261	5
4	2026-2027	5	132	131	6
Grand Total		**80**	**828**	**822**	**86**

*Business operations has started on 30th August 2023.

PhonePe Wealth Broking Private Limited

Regd. Office: Office-2, Floor 3, Wing A, Block A, Salarpuria Softzone, Bellandur Village, Varthur Hobli, Outer Ring Road, Bangalore South, Bangalore, Karnataka, India, 560103

Corporate Office: Office 1, Floor 2, Block A, Sattva Softzone, Bellandur Village, Varthur Hobli, Bangalore South, Bangalore, Karnataka, India, 560103

Registration no. issued by SEBI to act as Research Analyst:- INH000013387

customerhelpdesk@phonepeinvest.com 080-69104700 CIN: U65990KA2021PTC146954 https://www.phonepeinvest.com/